

Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstone Michael V. Mercinsers Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heldid L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Kimberly N. Reddick Kheodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Unulier Rae Lynn Prengaman Jane Shershenovich* Lawrence J. Carroll* George S. Bardmesser Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller* LuAnne M. DeSantis John J. Figueroa Ann E. Summerfield Tiera S. Coston* Aric W. Ledford*

Michael D. Specht Jessica L. Parezo Timothy A. Doyle* Cynthia M. Bouchez Nicole D. Dretar* Ted J. Ebersole

Registered Patent Agents • Karen R. Markowicz Nancy J. Leith Helene C. Carlson Gaby L. Longsworth Matthew J. Dowd Aaron L. Schwartz Mary B. Tung Katina Y. Pei Quach Bryan L. Skelton Robert A. Schwartzman Teresa A. Colella Jeffrey S. Lundgren Victoria S. Rutherford Eric D. Hayes Michelle K. Holoubek Robert H. DeSelms Simon J. Elliott Julie A. Heider Mita Mukerjee Scott M. Woodhouse Of Counsel Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

Admitted only in Maryland Admitted only in Virginia

Federal Agencies

March 24, 2004

WRITER'S DIRECT NUMBER: (202) 772-8835 INTERNET ADDRESS: TFIALA@SKGF.COM

Art Unit 2183

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Continuation Patent Application

Application No. 10/700,485; Filed: November 5, 2003

For: High-Performance, Superscalar-Based Computer System With Out-

Of-Order Instruction Execution

Inventors:

NGUYEN et al.

Our Ref:

SP015.C17 (1397.028000H)

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fourth Supplemental Information Disclosure Statement;
- 2. Form PTO-1449 listing **FIVE (5)** documents (1 page);
- 3. Copy of FIVE (5) cited documents as listed on Form PTO-1449; and
- 4. ONE (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents March 24, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

TCF/mjg Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Appl. No.: 10/700,485

Confirmation No.: 7752

NGUYEN et al.

Art Unit: 2183

Examiner: To Be Assigned

Filed: November 5, 2003

Atty. Docket: SP015.C17 (1397.028000H)

For: High-Performance, Superscalar-**Based Computer System With Out-**

Of-Order Instruction Execution

Fourth Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Fourth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Third Supplemental Information Disclosure Statement filed on February 17, 2004 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this
 Information Disclosure Statement was cited in a communication from a foreign
 patent office in a counterpart application and this communication was not
 received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty
 days prior to the filing of this information disclosure statement.

- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
 - c. Attached is our PTO-2038 Credit Card Payment Form in the amount of _____ in payment of the fee under 37 C.F.R. § 1.17(p).
- 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

\[\] a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

\[\] b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ∑ 7. Copies of the documents are submitted herewith.

□ 8.	Copies of the documents were cited by or submitted to the Office in an IDS that								
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed								
	, which is relied upon for an earlier filing date under 35 U.S.C. §								
	120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).								

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO 1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

-Sterne, Kessler, Goldstein & Fox p.l.l.c.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

(202) 371-2600

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	AL7	64 36336		02/07/1989		Japan				Yes No
	AM7	AM7					·			Yes No
	AN7	AN7 AO7								Yes No
	A07									Yes No
<u> </u>	AP7									Yes No
	18.		OTHER	(Including Aut	thor,	Title, Date, Per	rtinent P	ages, etc.	.)	
	AR	<u>31</u>								
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	AT	31	Final Rejection, mailed January 30, 2004, for Japanese Appl. No. 2000-146443, and English language translation of same, 3 pages							
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